

F. No. 9-11 /2014/GIM-KAR
Government of India
Ministry of Environment, Forest and Climate Change
Green India Mission

Indira Paryavaran Bhawan
Jor Bagh Road, Aliganj
New Delhi-110003

Dated: 24 July, 2015

To

The Pay & Accounts Officer
Ministry of Environment, Forest & Climate Change
Indira Paryavaran Bhawan
Jor Bagh Road, Aliganj
New Delhi-110003

Subject: Release of funds under the National Mission for a Green India for the approved Perspective Plan and Annual Plan of Operations of Karnataka State for 2015-16: reg.

Sir,

I am directed to convey the sanction of the President of India to release of ₹ 105.53 Lakh (Rupees one hundred and five Lakh fifty three thousand only) as first installment towards Grants-in-Aid to the State Government of Karnataka for onward release to the State Forest Development Agency, Karnataka (SFDA) under the National Mission for a Green India (GIM) for the Annual Plan of Operation (APO) of financial year 2015-16.

2. The Competent Authority has approved the Perspective Plan of Karnataka State having physical target of 22165 ha and 2704 household covered under various submissions & intervention and for support activities in 50 L2 landscapes and 330 L3 landscapes within three selected L1 landscapes. The Perspective Plan is for ten years and the total proposed project cost is ₹ 5674.24 Lakh (Rupees five thousand six hundred and seventy four lakh twenty four thousand only) at the current wage rate of ₹ 278.66 per day.

3. The Competent Authority has also approved the Annual Plan of Operation (APO) of Karnataka State for carrying out GIM activities in 760 ha under three submissions and to provide alternative fuel energy to 1002 households with a financial implication of ₹ 335.48 Lakh (Rupees three hundred thirty five lakh and forty eight thousand only) during 2015-16. The Landscape-wise work plan details enlisting the physical and financial targets are given in Annexure-I.

4. The Cabinet Committee on Economic Affairs had approved fund sharing in the ratio of 75:25 between Centre and the States except for North Eastern States and Jammu & Kashmir for which the ratio is 90:10. On the basis of the recommendation of the 14th Finance Commission, the fund sharing pattern is under review by the Government of India. In view of the pending decision on this matter; the first installment of the Centre's share is being sanctioned on the basis of 50:50 sharing, between Centre and State, ratio. The State will therefore need to contribute its share of 50%. In case, the fund sharing pattern remains unchanged the balance amount shall be duly adjusted in the second installment of Central share of the APO.

5. As per the Government of India O.M. No. 55(5)/PF.II/2011 dated January 6, 2014; the Central share would include Flexi fund @ 10% of total liability.

6. The first installment for 2015-16 has been sanctioned based on the prevailing State Wage Rate i.e. ₹ 278.66 per day. In case of any revision in the State Wage Rate, the corresponding additional amount will be provided along with the next installment. The State Government shall make adequate budgetary provision to

provide 50% of matching share i.e. ₹ 167.74 Lakh (Rupees one hundred sixty seven Lakh and seventy four thousand only) during 2015-16. The funds shall be released in the following manner:

		Amount (in ₹ Lakh)
a.	Amount to be met by State Govt. as State share (50%)	167.74
b.	Amount to be released as Central Govt's share (50%)	167.74
c.	Flexi fund on centre's share (b)/9	18.64
d.	Total amount to be released (b)+(c)	186.38
e.	1st installment @70% of Centre's Share [70%*(d)]	130.46
f.	Less: Unspent balance	24.93
g.	Adjusted first installment (e)-(f)	105.53
h.	2nd installment @ 30% of Centre's Share [30%*(d)]	55.91

7. The Grants-in-Aid shall be regulated in accordance with the provisions contained in GIM Implementation Guidelines of Ministry of Environment, Forests and Climate Change, Government of India, New Delhi (MoEF&CC). The Grants-in-Aid is also subject to the Chapter 9 of the General Financial Rules (GFR) 2005, as amended from time to time, based on the Government of India's decisions incorporated thereunder, and any other guidelines which may be issued in this regard, and in particular to the following conditions:-

- (i) The Grants-in-Aid to the State Government for onward release to SFDA is subject to the Economy Instructions issued from time to time by the Ministry of Finance or by any Competent Authority so designated.
- (ii) No staff is to be provided specifically for the Scheme.
- (iii) Assets acquired wholly or substantially out of Government Grants shall not be disposed of without obtaining the prior approval of the sanctioning authority of Grants-in Aid.
- (iv) SFDA shall maintain and shall present their Annual Accounts in the standard format as required under GFR 209.
- (v) The SFDA shall furnish Utilization Certificate as per GFR 19-A format giving the unspent balance and interest accrued, stating the reason for non utilization of funds if any, along with its request for the release of next installment of The Grants-in-Aid certifying that the fund released to them, for which Utilization Certificate has been issued, has been utilized exclusively in pursuance of objectives envisaged in the Rules/Memorandum of SFDA and that the Grant has been spent as per the instructions/ rules and with the approval of Competent Authority in each case.
- (vi) The Accounts of SFDA, as mentioned in Para 8 (b) below, shall be open for inspection by the sanctioning authority and audit, both by the Comptroller and Auditor General of India or by any person authorized by him on his behalf in accordance with the provisions laid down in Section 14 of the C&AG (DPC) 1971 as amended from time to time and Internal Audit Party by the Principal Accounts Office of the Ministry or Department whenever it is called upon to do so.
- (vii) The auditing of accounts of the SFDA/FDAs, as mentioned in Para 8 (b) below, operated for GIM shall be carried out through a reputed Chartered Accountant who is also on the panel of C&AG. The audit report must be submitted along with the Utilization Certificate.
- (viii) The Grants-in-Aid shall be utilized before the end of the current financial year i.e. 2015-16 and unspent balance, if any, shall be refunded by SFDA to the Government of India as per the relevant provisions of GFR 2005.
- (ix) Detailed progress report of the activities taken up by SFDA under GIM shall be submitted to MoEF&CC soon after the end of financial year.

8. The project shall be implemented by SFDA strictly in conformity with the Implementation Guidelines 2014 of Green India Mission including the following:-

- a) The activities under the Mission shall be implemented in the areas as indicated and in the project proposal and approved by the GIM Directorate.
- b) The funds received by the SFDA shall be deposited in its exclusive and separate bank account in a Nationalized Bank, which would be operated jointly by its Chairperson and the Member Secretary. The interest amount if any, accrued on the deposits of these funds shall be treated as part of the SFDA's additional resources and would be adjusted towards further installments of the grant/assistance in the same financial year.
- c) Within a period of seven days of receipt of funds from the State Government of Karnataka; the SFDA shall transfer the amount earmarked to the concerned FDAs for carrying out proposed activities in different L2 landscapes.
- d) The funds received by the FDA from SFDA shall be deposited in its exclusive and separate bank account in a Nationalized Bank, which would be operated jointly by its Chairperson and the Member Secretary. The interest amount if any, accrued on the deposits of these funds shall be treated as part of the FDA's additional resources and would be adjusted towards further installments of the grant in the same financial year.
- e) The FDAs shall release the amount to the JFMCs/EDCs within 15 days of receipt of funds from the SFDA preferably by Electronic Clearance System (ECS).
- f) The respective JFMCs/ EDCs shall deposit the funds thus received from the FDA in their exclusive and separate bank account in a Nationalised Bank/ a Cooperative Bank or a Post Office, which would be jointly operated by the President and the Member Secretary. The interest amount if any, accrued on the deposits of these funds shall be treated as part of the JFMC/EDC's additional resources and would be adjusted towards further installments of the grant in the same financial year.
- g) FDA/ JFMCs shall maintain a record of all assets created under the project as per GFR 151.
- h) Contractors / middlemen/ intermediate agencies are not permitted to be engaged for execution of any of the works under the scheme so as to ensure that the full wages are paid to the workers.
- i) A quarterly statement of accounts and progress of works carried out in the L3 level landscapes shall be submitted by each JFMC/ EDC to the FDA which, in turn, shall compile its own progress report for onward submission to SFDA. SFDA shall prepare a comprehensive Annual Report on the progress of works and utilization of funds in respect of all L2 level landscapes and submit to MoEFCC, Government of India soon after the end of the financial year.
- j) In case a FDA fails to execute the project in the identified L2/L3 landscapes within the stipulated time, including such extensions as may be granted by the Competent Authority, the Competent Authority may, in its discretion, require the SFDA to refund the grant in whole or in such part on account of the concerned FDA along with interest thereon as GIM may specify.
- k) There shall be no diversion of earmarked funds from one L2/L3 landscape to another one except in case of unavoidable circumstances and only with the prior approval of Mission Directorate.
- l) Except for supervening impossibilities, the Chairperson and the Member Secretary of the SFDA/FDA shall be solely responsible and accountable for successful implementation of the project.
- m) The funds released under the scheme shall be subject to audit by the Comptroller and Auditor General of India or his nominated officer. Any other agency/ officer (s) authorized by GIM shall have the right of access to the books and accounts of the SFDA/ FDA/JFMCs and EDCs for the funds received under the project.
- n) The SFDA shall submit non-diversion and non-embezzlement certificate each time a request for release of grant is made to GIM Directorate.
- o) The SFDA shall be responsible for guidance, coordination, supervision, periodical reporting and monitoring the implementation of the project by their constituent FDAs/JFMCs/ EDCs. The project shall also be monitored periodically by the respective State Forest Departments and all assistance for this purpose shall be rendered by the SFDA. In addition to this, MoEF&CC shall supervise the project, as and when deemed required.
- p) The Competent Authority reserves the right to terminate the grant at any stage if it is convinced that the grant has not been properly utilized or appropriate progress has not been made.
- q) The SFDA shall follow strict corruption mitigation strategies while utilizing the funds.

- r) The implementation of the project and maintenance of plantations raised there under that spill over to the next year shall be the responsibility of the State Government unless the GIM scheme is continued.
- s) SFDA shall take necessary steps to ensure raising of quality seedlings and maximum survival of plants under the GIM Scheme. The survival percentage of plantation shall be reflected in the subsequent Quarterly Progress Report submitted to the Ministry along with the details on the progress of activities carried out under the Mission.
- t) SFDA shall also submit a certificate to the effect that all conditions laid down in the Implementation Guidelines and the Sanction Order are being followed each time a request for release of grant is made to GIM Directorate.
- u) The project should be completed within the stipulated time period as reflected in the Perspective Plan and APO. The SFDA shall furnish two (2) copies of detailed report to Mission Directorate within two months of completion of the project.

9. The SFDA shall ensure the following conditions, prior to seeking the release of the next installment of funds:

- a) Ex-post facto approval of the State Level Steering Committee shall be obtained before the commencement of works for the Perspective Plan and APO for 2015-16.
- b) Preparation/completion of all L3 level micro-plans duly taking into account all the activities/interventions to be implemented with the funds available from various sources/complementary schemes to ensure requisite investment through convergence. The financial micro-planning shall include detailed breakup of the funds from various sources e.g. GIM, CAMPA, MNREGS, NAP etc.
- c) A baseline data on forest cover, biodiversity, carbon sequestration, potential status of wildlife corridors, annual income of forest dependent communities, socio-economic profile of the villages, and status of wetlands shall be recorded in the micro-plans.
- d) The timeframe within which each L3 landscape shall be saturated with GIM interventions should also be clearly stated in the micro-plan of L3 level landscapes.
- e) Certify that the creation work has been carried out in the area of which geo-references have been submitted for advance work during 2015-16.
- f) Ensure saturation of the selected landscapes sequentially starting with L3s and progressing over L2s and L1s with the requisite interventions in the stipulated time period to avoid duplication.

10. In accordance with the revised procedure, the Reserve Bank of India may please be advised to pass on the credit to the Central Accounts Section, Nagpur for transferring the funds to the accounts of Government of Karnataka and to debit the amount to the Account of Ministry of Environment, Forest & Climate Change, Govt. of India, New Delhi.

11. The State Government of Karnataka would make provisions in their budget and on receipt of funds from RBI, release the grants of above funds within 15 days of the issue of this sanction letter to SFDA the details of which are given below, under intimation to this office.

Name and Address of SFDA	Name of Bank where A/c of SFDA held	Name and complete address of the bank branch	IFSC/MICR Code/ Bank Branch Code	Type of Account	Account No.
State Forest Development Agency, Karnataka	Syndicate Bank	Syndicate Bank, 18th Cross Branch, Malleshwaram, Bengaluru-560003, Karnataka	SYNB 0000424	Saving	4242140000034

12. The payment sanctioned above is provisional. The final adjustment shall be made after receipt of the item wise physical and financial Progress Report (detailed report), Utilization Certificate and Audited Expenditure figures from the implementing agency at the time of 2nd installment release.

13. The implementing agency is requested to ensure that the Central Assistance being released shall be gainfully utilized in furtherance of the approved APO without any time overrun under the project/scheme. The

proposed area coverage under the work programme should not be over-lapping with any other Central/State scheme and there should be no duplication of central / external assistance in any case.

14. The expenditure is debitable to the following Head of Accounts under Demand No. 32 of Ministry of Environment, Forest and Climate Change (2015-16).

Items	Head of Accounts	Allocation (in ₹ Lakh)
General	3601.02.665.04.01.31	71.76
TSP	3601.02.796.40.01.31	3.17
SCSP	3601.02.789.49.01.31	30.60

15. The sanction issues with the approval of the Competent Authority under the power delegated to the Ministries/Departments with the concurrence of Integrated Finance Division vide their Diary No. 355/US (FF-I) dated 10.07.2015.

Yours faithfully



(S Mahapatra)
Under Secretary (GIM)

Encl: as above

Copy to: -

1. The Chief Secretary, Govt. of Karnataka, Bengaluru
2. The Principal Secretary, Forest Ecology & Environment, Govt. of Karnataka, Bengaluru
3. The Principal Secretary, Finance Department, Govt. of Karnataka, Bengaluru
4. The Principal Secretary, Planning, Programme Monitoring and Statistics Deptt, Govt. of Karnataka, Bengaluru
5. Principal Chief Conservator of Forests, Govt. of Karnataka, Bengaluru
6. The Accountant General (A&E), Govt. of Karnataka, Bengaluru.
7. The Addl. Pr. Chief Conservator of Forests, Regional Office (SZ), MoEF&CC, Govt. of India, Bengaluru.
8. The State Nodal Officer (GIM), Govt. of Karnataka, Bengaluru.
9. Additional Secretary & Financial Advisor, MoEF&CC, New Delhi
10. Joint Secretary (PF-II), Department of Expenditure, Ministry of Finance, New Delhi.
11. The Manager, Reserve Bank of India, Central Account Section, Karnataka, Bengaluru.
12. The Principal Director (Audit), Scientific Department, AGCR Building, New Delhi-2
13. The DDO (Cash), MoEF&CC, New Delhi
14. Account Officer (B&A), MoEF&CC, New Delhi
15. Sanction Folder/ Guard File.

Annexure-I: Annual Plan of Operation for 2015-16 giving landscape-wise work plan details

State: Karnataka

As per Wage Rate ₹ 278.66/-

Sl. N.	Submission/ Intervention	Category	Type	Admissible Cost (Rs./ha)	Sirsi, Siddapura		Gulbarga, Chincholi		Hassan, Arakalagudu		Total	
					Phy (ha)	Fin (₹ Lakh)	Phy (ha)	Fin (₹ Lakh)	Phy (ha)	Fin (₹ Lakh)	Phy (ha)	Fin (₹ Lakh)
A. Cost norms for Sub Missions and Intervention												
1	Sub Mission 1: Enhancing quality of forest cover and improving ecosystem services (4.9 m ha)	a) Moderately dense forest cover, but showing degradation	ANR (Without Plantation)	41800								
			a) Advance Work	16720	300	50.16	200	33.44	-	-	500	83.60
			b) Creation	14630	-	-	-	-	-	-	-	-
			c) Maintenance I Year	3762	-	-	-	-	-	-	-	-
			II Year	3344	-	-	-	-	-	-	-	-
			III Year	3344	-	-	-	-	-	-	-	-
			200 Plants/Ha. (Type A)	44586								
			a) Advance Work	17834	30	5.35	-	-	-	-	30	5.35
			b) Creation	15605	-	-	-	-	-	-	-	-
		c) Maintenance I Year	4013	-	-	-	-	-	-	-	-	
		II Year	3567	-	-	-	-	-	-	-	-	
		III Year	3567	-	-	-	-	-	-	-	-	
		1100 Plants/Ha. (Type B)	83598									
		a) Advance Work	33439	30	10.03	50	16.72	25	8.36	105	35.11	
		b) Creation	29259	-	-	-	-	-	-	-	-	
		c) Maintenance I Year	7524	-	-	-	-	-	-	-	-	
		II Year	6688	-	-	-	-	-	-	-	-	
		III Year	6688	-	-	-	-	-	-	-	-	
		2500 Plants/Ha. (Type C)	139330									
a) Advance Work	55732	40	22.29	-	-	-	-	40	22.29			
b) Creation	48765	-	-	-	-	-	-	-	-			
c) Maintenance I Year	12539	-	-	-	-	-	-	-	-			
II Year	11147	-	-	-	-	-	-	-	-			
III Year	11147	-	-	-	-	-	-	-	-			
2	Sub Mission 2: Ecosystem	f) Restoration of adandoned mining area	2500 Plants/Ha.	278660								
			a) Advance Work	111464	20	22.29	-	-	-	-	20	22.29
			b) Creation	97531	-	-	-	-	-	-	-	-
			c) Maintenance I Year	25079	-	-	-	-	-	-	-	-
			II Year	22293	-	-	-	-	-	-	-	-
			III Year	22293	-	-	-	-	-	-	-	-
3	Sub Mission 3: Enhancing tree cover in Urban & Peri-urban areas (including institutional lands): 0.2mha	a) Plantation in Urban & Peri-urban areas	2500 Plants/Ha.	278660								
			a) Advance Work	111464	10	11.15	-	-	5	5.57	15	16.72
			b) Creation	97531	-	-	-	-	-	-	-	-
			c) Maintenance I Year	25079	-	-	-	-	-	-	-	-
			II Year	22293	-	-	-	-	-	-	-	-
			III Year	22293	-	-	-	-	-	-	-	-
4	Sub Mission 4: Agro-Forestry and Social Forestry (increasing biomass & creating carbon sink): 3 mha	a) Farmer's land including current fallows	Farmer's land	55732								
			a) Advance Work	22293	20	4.46	-	-	-	-	20	4.46
			b) Creation	19506	-	-	-	-	-	-	-	-
			c) Maintenance I Year	5017	-	-	-	-	-	-	-	-
			II Year	4458	-	-	-	-	-	-	-	-
			III Year	4458	-	-	-	-	-	-	-	-
		b) Shelterbelt plantations	Shelterbelt	222928								
			a) Advance Work	89172	20	17.83	-	-	-	-	20	17.83
			b) Creation	78025	-	-	-	-	-	-	-	-
			c) Maintenance I Year	20063	-	-	-	-	-	-	-	-
			II Year	17834	-	-	-	-	-	-	-	-
			III Year	17834	-	-	-	-	-	-	-	-
		c) Highways/Rural roads/Canals/ Tank Bunds	Roads/Canals/ Tank Bunds	195062								
			a) Advance Work	78025	10	7.80	-	-	-	-	10	7.80
			b) Creation	68272	-	-	-	-	-	-	-	-
c) Maintenance I Year	17555		-	-	-	-	-	-	-	-		
II Year	15605		-	-	-	-	-	-	-	-		
III Year	15605		-	-	-	-	-	-	-	-		
6	Promoting alternative fuel energy	Biogas, solar devices, LPG, Biomass-based systems, improved stoves	Per House Hold	3300	802	26.47	100	3.30	100	3.30	1002	33.07
			Total (A)		480	177.83	250	53.46	30	17.23	760	248.52
B. For Support Activities												
	Activities Cost											
1	Research (2% of A)					3.56		1.0700		0.34		4.97
2	Publicity/Media/outreach activities (1% of A)					1.78		0.5300		0.17		2.48
3	Monitoring and Evaluation (1% of A)					1.78		0.5300		0.17		2.48
4	Livelihood improvement activities, (17% of A)					30.23		9.0900		2.93		42.25
5	Strengthening local-level institutions (5 % A)					8.89		2.6700		0.86		12.42
6	Strengthening FDs (5% A)					8.89		2.6700		0.86		12.42
7	Mission Organisation, operation and maintenance, contingencies and overheads (4% A)					7.11		2.1400		0.69		9.94
	Total (B)					62.24		18.70		6.02		86.96
	Grand Total (A+B)					240.07		72.16		23.25		335.48